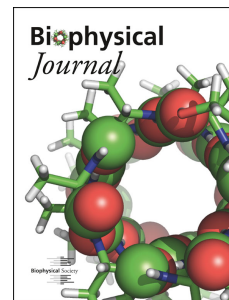


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Physical phenotype of blood cells is altered in COVID-19

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1 Abstract

2 Clinical syndrome coronavirus disease 2019 (COVID-19) induced by severe acute respiratory syndrome
3 coronavirus 2 (SARS-CoV-2) is characterized by rapid spreading and high mortality worldwide. While the
4 pathology is not yet fully understood, hyper-inflammatory response and coagulation disorders leading
5 to congestions of microvessels are considered to be key drivers of the still increasing death toll. Until
6 now, physical changes of blood cells have not been considered to play a role in COVID-19 related
7 vascular occlusion and organ damage. Here we report an evaluation of multiple physical parameters
8 including the mechanical features of five frequent blood cell types, namely erythrocytes, lymphocytes,
9 monocytes, neutrophils, and eosinophils. More than 4 million blood cells of 17 COVID-19 patients at
10 different levels of severity, 24 volunteers free from infectious or inflammatory diseases, and 14
11 recovered COVID-19 patients were analyzed. We found significant changes in lymphocyte stiffness,
12 monocyte size, neutrophil size and deformability, and heterogeneity of erythrocyte deformation and
13 size. While some of these changes recovered to normal values after hospitalization, others persisted for
14 months after hospital discharge, evidencing the long-term imprint of COVID-19 on the body.

15 Significance Statement

16 COVID-19 can lead to the impairment of the circulatory system, including effects such as vascular
17 occlusion and hypoxemia. The physical properties of blood cells have crucial roles for proper circulation.
18 Quick and simple examination of these properties would accomplish an unmet clinical need for rapid
19 diagnostics of the cell's functional status. Here we employed real-time deformability cytometry, a label
20 free, high-throughput imaging technology to assess various physical properties of blood cells. We
21 identified significant and persisting changes of cell size and mechanical properties in acute phase and
22 post COVID-19. These changes might be predictive for cell functionality such as oxygen delivery. Thus,
23 our findings have implications for COVID-19 diagnostics and treatment.

24 **Keywords:**

real-time deformability cytometry | blood cell physical phenotype | cell mechanics | cell size | immune cells | erythrocytes | coronavirus disease 2019 (COVID-19) | severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2)

25 **Introduction**

26 Peripheral blood is a key body fluid analyzed during the diagnostic routine, including infectious disease
27 diagnostics. Infection by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) may lead to the
28 clinical syndrome coronavirus disease 2019 (COVID-19), which is accompanied by changes in numbers
29 and phenotypes of blood cells (1). Typically, various immune cells such as T-lymphocytes, monocytes
30 and macrophages get activated and contribute to the so-called hyper-inflammatory response (2). The
31 uncontrolled inflammation is believed to be a major cause of disease severity and death during COVID-
32 19 (3). Furthermore, abnormal coagulation and thrombotic events leading to vascular occlusion are
33 described as major contributors to the high mortality (4, 5).

34 Apart from the biochemical state of blood cells, infectious diseases can also alter their physical
35 properties, including morphological or mechanical features. It is long known that mechanical properties
36 of cells can act as a disease marker, as reviewed by Di Carlo (6), and can contribute to vascular occlusion,
37 as reviewed by Lipowsky (7). To date, a systematic evaluation of the effect of COVID-19 on the physical
38 phenotypes of the most frequent blood cells was missing. To address this gap, we employed real-time
39 deformability cytometry (RT-DC), a label free, high-throughput technology that allows quick image-
40 based mechanical interrogation of cells at rates of up to 1000 cells per second (8). Previously, the
41 technique was used to detect disease specific signatures of blood cell pathological changes in several
42 conditions including spherocytosis, malaria, acute lymphoid leukemia, and acute myeloid leukemia (9).
43 During viral respiratory tract infection Toepfner *et al.* reported an increase of neutrophil and monocyte
44 size and deformability, as well as larger and more deformable lymphocytes in acute Epstein-Barr-virus
45 infection.

46 Here we examine COVID-19 related changes of physical phenotype of several cell types found in
47 peripheral blood, namely erythrocytes, lymphocytes, monocytes and neutrophils. In total, more than
48 4×10^6 blood cells from 55 blood samples were analyzed, including 17 COVID-19 positive patients, 14
49 recovered patients, and 24 age matched volunteers showing no indication of infection or inflammatory
50 disease. We found that COVID-19 is linked with significantly decreased lymphocyte stiffness, increased
51 monocyte cell size, the appearance of smaller and less deformable erythrocytes, and the presence of
52 large, deformable, activated neutrophils. Certain changes had not returned to the control group levels
53 months after release from the hospital, bringing evidence of the long-lasting effects of COVID-19 on the
54 circulatory system.

55 Our results show that real-time deformability cytometry can be used to follow the course of COVID-19
56 and the immune response against it. In the future, we anticipate that measurements of morphological
57 and mechanical properties of blood cells will contribute towards improving infectious disease
58 diagnostics.

59 **Materials and Methods**

60 **Peripheral blood collection**

61 COVID-19 patients were hospitalized with a majority at intensive care unit (ICU) of the Department of
62 Internal Medicine 1, Friedrich-Alexander-University Erlangen-Nürnberg, Germany (FAU) showing
63 different severity levels at the time of blood sampling. COVID-19 venous blood samples ($n = 17$) were
64 taken from hospitalized patients of the Department of Internal Medicine 1, FAU, between April and May
65 2020. Recovered patient venous blood samples ($n = 14$) were taken from patients of the Department of
66 Internal Medicine 1, FAU, and COVID-19 patients in quarantine, in recovery from COVID-19 between
67 four and eight months after release from the hospital or quarantine (median 7.1 ± 1.1 months). All
68 patients had positive PCR tests for COVID-19. Control venous blood samples (healthy cohort) were taken
69 from patients of the Department of Ophthalmology, FAU (patient information can be found in
70 Supplementary table 1). Blood was drawn using a 20-gauge butterfly needle into a sodium citrate S-
71 monovette by vacuum aspiration with the tenets of the Declaration of Helsinki. Informed written
72 consent was obtained from all participants. All experiments were performed according to the
73 institutional guidelines and the ethical approval of the Ethical Committee of the University Medical
74 Center of Erlangen (permits #193_13B and #174_20B and 295_20B). After blood collection, samples
75 were used for clinical routine diagnostics and an aliquot was taken for RT-DC analysis within the
76 standard storing time and conditions.

77 **Sample preparation**

78 Prior to measurement, 50 μl of whole blood was gently mixed with 950 μl of measurement buffer (MB)
79 composed of 0.6% (m/v) methyl cellulose dissolved in phosphate buffered saline (PBS; Figure 1 A),
80 adjusted to a viscosity of 60 mPa.s at 24°C using a falling ball viscometer (Haake, Thermo Scientific).

81 **Real-time deformability cytometry**

82 Real-time deformability cytometry (RT-DC) measurements were performed as described previously
83 using an AcCellerator instrument (Zellmechanik Dresden GmbH) (9). The cell suspension was loaded into
84 a 1 ml syringe, attached to a syringe pump (neMESYS, Cetoni GmbH) and connected by PEEK-tubing
85 (IDEX Health & Science LLC) to a microfluidic chip made of PDMS bonded on cover glass. A second
86 syringe with sheath fluid (pure measurement buffer) was connected to the chip, which consisted of two
87 inlets (one for the sheath fluid and one for the sample) and one outlet connected by a channel
88 constriction of $20 \times 20 \mu\text{m}$ square cross-section, where the measurement was performed. The total flow
89 rate was 0.06 $\mu\text{l/s}$, of which the sheath flow rate was 0.045 $\mu\text{l/s}$ and the sample flow rate was 0.015 $\mu\text{l/s}$.
90 To perform a measurement, the chip was mounted on the stage of an inverted high-speed microscope
91 equipped with a CMOS camera. Measurement temperature was 23°C. Images were acquired at a frame
92 rate of 1600 fps. Cells were detected in a region of interest of 250×80 pixels and morphological and
93 mechanical parameters were acquired in real-time (Figure 1 B).

94 **Data analysis**

95 Cell images were analyzed using ShapeOut software (10) and Python 3.7 using dclab library (11). For
96 each patient, the five studied cell populations were hand-gated in the cell brightness-area parameter
97 plot according to the procedure described in Toepfner *et al.* (9). We applied a gate for minimum cross-
98 sectional area ($15 \mu\text{m}^2$) and for the area ratio ($1 - 1.05$ for leukocytes, $1 - 1.08$ for erythrocytes). The

99 calculation of deformation, a measure of how much the cell shape deviates from circularity, and was
100 obtained from the image using the projected area (A) and cell contour length calculated from the convex
101 hull (l):

$$Deformation = \frac{1 - 2\sqrt{\pi A}}{l} \quad \text{Eq. 1}$$

102 The calculation of the Young's modulus was done using a look-up table derived from simulations based
103 on the finite elements method (12) and the analytical solution (13). Cell volume was computed from the
104 event contour under the assumption of rotational symmetry with a rotational axis parallel to the flow
105 direction. The calculation is based on a full rotation of the upper and the lower halves of the contour,
106 which are then averaged.

107 Statistical analysis was done in Python 3.7 using Kruskal-Wallis H -test and post-hoc Dunn's test with
108 Bonferroni correction. In graphs, p -values are represented by * $p < .05$, ** $p < .01$, *** $p < .001$. The
109 effect size was estimated by the epsilon squared, ϵ^2 (14), which was calculated from the H -test statistic
110 as follows (15):

$$\epsilon_R^2 = \frac{H}{(n^2 - 1) / (n + 1)} \quad \text{Eq. 2}$$

111 where H is the Kruskal-Wallis H -test statistic, n is the total number of observations, and the ϵ^2 coefficient
112 assumes the value from 0 (indicating no relationship) to 1 (perfect relationship). The effect size was
113 interpreted according to Rea *et Parker* (16), details are found in Supplementary table 2.

114 For the comparison of three donors at two time points (during and post COVID-19), statistical analyses
115 were carried out using a 1D linear mixed model that incorporates fixed effect parameters and random
116 effects to analyze differences between cell subsets and replicate variances, respectively. p -values were
117 determined by a likelihood ratio test, comparing the full model with a model lacking the fixed effect
118 term (17), and are represented in the graphs by * $p < .05$, ** $p < .01$, *** $p < .001$.

119 Data availability statement

120 All data acquired and used for analysis are publicly available. doi: 10.5281/zenodo.4737521

121 Results

122 We studied the peripheral blood from 17 COVID-19 patients hospitalized at the time of sample
123 collection (median age 68 ± 10.4 years) compared to a cohort of 24 volunteers free from infectious or
124 inflammatory diseases (62.5 ± 13.6 years) and 14 blood donors on average 7 months after
125 hospitalization with COVID-19 (age 58.6 ± 12.4 years, herein referred to as the 'recovered' patients).
126 Whole blood was diluted in measurement buffer at a ratio of 1:20 and analyzed with RT-DC (Figure 1).
127 For each patient, the five most frequent blood cell populations were manually gated according to the
128 established analysis protocol (9): erythrocytes, lymphocytes, monocytes, neutrophils, and eosinophils.

129 In agreement with other studies (18, 19) we observed significant alterations of white blood cell (WBC)
130 counts in severe COVID-19 cases, namely neutrophilia (elevated number of neutrophils) and
131 lymphopenia (decreased number of lymphocytes), Supplementary figure 1. Here it should be noted that

132 reports of lymphopenia in literature are controversial, as for instance Ramonell et al. reported elevated
133 levels of lymphocytes in COVID-19 patients (20). The median neutrophil to lymphocyte ratio (NLR)
134 increased from 0.97 ± 0.70 to 3.62 ± 3.36 , with several cases where NLR was over 8. The NLR increase
135 calculated from RT-DC data was consistent with literature, where NLR is reported as a prognostic marker
136 of COVID-19 mortality (21). In some patients we also observed monocytosis (22): while the proportion of
137 monocytes to total WBC was mostly in the normal range of 2–8%, in several COVID-19 cases it reached
138 over 10% (Supplementary figure 1). No significant changes were found in eosinophil counts. Overall
139 these findings confirm that, purely from the images of cells obtained with RT-DC, it is possible to
140 reproduce the results of conventional complete blood counts (9). The focus of this study, however, was
141 the interrogation of changes of blood cell physical phenotype. The following sections describe
142 alterations of erythrocytes and leukocytes during COVID-19. While the most striking findings are
143 presented below, the reader will find a comprehensive overview of all the analyzed physical features for
144 each cell type in the Supplementary information (Supplementary figure 2-6).

145 **COVID-19 induces the appearance of erythrocytes with distinct physical phenotype**

146 RT-DC analysis revealed erythrocyte anomaly in COVID-19 patients, mainly characterized by the
147 appearance of small erythrocytes with low deformation in standardized channel flow conditions (Figure
148 2 A-E). The median deformation of erythrocytes exhibited a weak decrease in COVID-19 patients
149 compared to healthy donors and recovered patients (Kruskal-Wallis $p = .22$, $\chi^2 = 3.1$, $\epsilon^2 = 0.06$), Figure 2
150 F. It is noteworthy that several of the COVID-19 patients had very low median erythrocyte deformability
151 compared to the rest of the blood donors.

152 Significant differences with strong effect size were observed in the standard deviations of erythrocyte
153 deformation (Kruskal-Wallis $p < .0001$, $\chi^2 = 42.3$, $\epsilon^2 = 0.78$), Figure 2 G. The significant broadening of the
154 deformation distribution during COVID-19 was the result of the appearance of erythrocytes with low
155 deformation, as shown in Figure 2 D. Such cells were rare in the healthy patient cohort.

156 Alongside the significant difference in standard deviation (SD) of deformation between hospitalized
157 patients and the healthy cohort ($p < .0001$, see Supplementary table 2 for detailed results of Dunn's
158 post-hoc tests), we also found significant differences between the recovered and hospitalized cohorts (p
159 = .002) and between the recovered and healthy cohorts ($p = .03$), Figure 2 G. Clearly, erythrocytes of
160 the recovered patients had not fully returned to the state of the healthy cohort.

161 In addition to the increased SD of deformation, we also found increased SD of cell size, specifically the
162 cross-sectional area of the image-derived cell contours and the cell volume (Supplementary figure 2).
163 The increased standard deviations of cell size and deformation were in accordance with reported
164 broadening of the red blood cell distribution width (RDW), a routine complete blood count component
165 (23). Some of the cells were not only smaller than usual but also asymmetrically shaped, raising
166 suspicion of the presence of fragmented erythrocytes. This is a valid hypothesis as schistocytes have
167 been reported as a marker of severe COVID-19 (24).

168 In general, the phenotype changes observed with RT-DC may be associated with structural and
169 functional changes. A recent study has reported that COVID-19 causes irreversible damage to the
170 erythrocyte proteome (25). The authors found that oxidative stress connected with COVID-19 damages

171 essential proteins in erythrocytes, including those that influence membrane structure and the ability to
172 transport and deliver oxygen. Since mature erythrocytes cannot synthesize new proteins to replace
173 damaged ones and the average lifespan of erythrocytes is 120 days, the authors hypothesize that the
174 circulation of irreversibly damaged erythrocytes with impaired function could contribute to the long-
175 term effects of COVID-19 (25).

176 According to our findings, COVID-19 is connected with the appearance of less deformable erythrocytes.
177 Cell deformability is thought to be a key factor determining splenic clearance (26) and it is likely that
178 erythrocytes strongly deviating from normal deformability get removed by the spleen. Interestingly, we
179 observed that erythrocyte heterogeneity in recovered patients had not fully decreased back to healthy
180 donor levels. Thus, we hypothesize that erythrocytes with only minor deviation from normal
181 deformability pass through the spleen unnoticed. Due to the long erythrocyte lifespan, such cells may
182 remain in the blood circulation for months. The altered physical properties of circulating blood cells
183 could even induce mechanical stress and impair the function of the spleen in filtering out abnormal red
184 blood cells. These phenomena could contribute to the long-term problems experienced by many COVID-
185 19 patients (27).

186 **Decreased lymphocyte stiffness in COVID-19 patients**

187 RT-DC analysis revealed increased deformability of peripheral blood lymphocytes during severe COVID-
188 19 ($p = .013$, $\chi^2 = 8.7$, with relatively strong effect size $\epsilon^2 = 0.16$), as can be seen in Figure 3 A-E. While
189 lymphocyte cell size did not differ among healthy donors, recovered, and hospitalized COVID-19 patients
190 (medians $37.8 \pm 1.3 \mu\text{m}^2$, $38.6 \pm 0.7 \mu\text{m}^2$ and $39.0 \pm 2 \mu\text{m}^2$, respectively, Figure 3 F), lymphocyte
191 deformation in standardized channel flow conditions was elevated during COVID-19. Compared to the
192 healthy donor median deformation of 0.025 ± 0.006 , hospitalized COVID-19 patient lymphocytes had a
193 significantly higher median deformation 0.029 ± 0.003 , $p = .011$ (Figure 3 G). Lymphocyte deformation
194 was 0.026 ± 0.002 for recovered patients, not significantly different from that of the control group.

195 The sphericity of lymphocytes under normal conditions allowed us to exploit the developed theoretical
196 framework (13) to calculate the Young's modulus from RT-DC data. The Young's modulus, a measure of
197 overall cell stiffness, was significantly lower in the COVID-19 cohort ($p = .003$, $\chi^2 = 11.7$, $\epsilon^2 = 0.22$) (Figure
198 3 H). While the control group median Young's modulus was $1.15 \pm 0.12 \text{ kPa}$, it went down to 1.03 ± 0.10
199 kPa in hospitalized COVID-19 patients ($p = .003$, Dunn's post-hoc test), reflecting a decrease of stiffness.
200 The decreased Young's modulus in lymphocytes during COVID-19 was further confirmed by comparing
201 data of three patients during and after COVID-19 (Supplementary figure 3 L). To the best of our
202 knowledge, this study reveals the first evidence of altered mechanical properties of lymphocytes during
203 COVID-19.

204 **Monocytes of COVID-19 patients exhibit a dramatic increase in cell volume**

205 In inflammatory disease, monocytes can contribute to the immune response either directly or via
206 differentiation into dendritic cells or macrophages. Therefore it is not surprising that altered monocyte
207 phenotype and function is characteristic for COVID-19 patients (1). Examination of monocytes with RT-

208 DC revealed a significant change in monocyte size ($p < .0001$, $\chi^2 = 30.6$, $\epsilon^2 = 0.57$) triggered by the
209 appearance of larger monocytes during COVID-19 (Figure 4 A-D). Monocytes of hospitalized COVID-19
210 patients had a median cell cross-sectional area of $70.5 \pm 7.1 \mu\text{m}^2$, significantly higher compared to
211 recovered patients ($65.0 \pm 2.5 \mu\text{m}^2$, $p < .0001$, Dunn's post-hoc test) and that of the healthy cohort, 63.8
212 $\pm 2.2 \mu\text{m}^2$ ($p < .0001$), Figure 4 E. This represents a 9.5% increase from the median cross-sectional area
213 of the healthy cohort. In addition, the standard deviation of cross-sectional area increased during
214 COVID-19 ($p = .001$, $\chi^2 = 13.7$, $\epsilon^2 = 0.25$) due to the appearance of large monocytes (Figure 4 F).

215 The differences in cell volume were also pronounced ($p < .0001$, $\chi^2 = 27.7$, $\epsilon^2 = 0.51$) with a 16.7%
216 increase of median cell volume during COVID-19. The median volume of COVID-19 patient monocytes
217 was $353.7 \pm 55.8 \mu\text{m}^3$ compared to $303.2 \pm 12.0 \mu\text{m}^3$ for the healthy cohort and $304.9 \pm 19.4 \mu\text{m}^3$ for
218 recovered patients (Figure 4 G). Assuming spherical shape, this would correspond to monocyte
219 diameters of $8.8 \mu\text{m}$ for COVID-19 patients and $8.3 \mu\text{m}$ for healthy donors. Again, the standard deviation
220 of cell volume was significantly higher for COVID-19 patients ($p < .0001$, $\chi^2 = 18.5$, $\epsilon^2 = 0.34$), as shown in
221 Figure 4 H. The observed size changes could be due to the appearance of a subpopulation of large,
222 possibly highly phagocytic monocytes (28). Morphological anomaly of COVID-19 patient monocytes has
223 been observed by Zhang *et al.* (29). In their study, monocyte size was assessed indirectly using flow
224 cytometry forward scatter (FSC). Unlike RT-DC, FSC measurement does not quantify absolute size
225 changes (30). Still, the authors were able to observe a relative change of monocyte size and reported an
226 increase in COVID-19 compared to healthy individuals, in line with our observation. In their study, the
227 FSC-high population was more pronounced in patients requiring hospitalization and ICU admission.

228 No significant differences in deformation or Young's modulus were found among the three studied
229 groups (Supplementary figure 4), proving that the stiffness of monocytes remained unchanged during
230 COVID-19.

231 **Altered physical phenotype signals neutrophil activation in COVID-19**

232 Finally, RT-DC analysis provided evidence of significant changes with strong effect sizes in neutrophil
233 cross-sectional area ($p < .0001$, $\chi^2 = 23.0$, $\epsilon^2 = 0.43$), volume ($p < .0001$, $\chi^2 = 23.5$, $\epsilon^2 = 0.44$) and
234 deformation ($p = .0013$, $\chi^2 = 13.3$, $\epsilon^2 = 0.25$), Figure 5. During COVID-19, neutrophils were on average
235 larger ($68.7 \pm 3.5 \mu\text{m}^2$ vs. healthy donors $63.5 \pm 2.2 \mu\text{m}^2$, $p < .0001$), had higher volume (327.5 ± 27.2
236 μm^3 vs. healthy donors $292.0 \pm 12.9 \mu\text{m}^3$, $p < .0001$) and were more deformed under the standard
237 capillary flow conditions in RT-DC (0.059 ± 0.009 vs. healthy donors 0.051 ± 0.004 , $p = 0.002$), Figure 5 F-
238 H. The standard deviations of neutrophil cross-sectional area, volume and deformation were also
239 significantly higher in the COVID-19 patients compared to healthy individuals (Supplementary figure 5),
240 reflecting higher heterogeneity in the population of neutrophils.

241 We hypothesize that the described changes of physical properties observed with RT-DC are linked to an
242 activated state of neutrophils. The joint increase of size and deformation of stimulated neutrophils *in*
243 *vitro* and *in vivo* has been documented by RT-DC analysis previously (9, 31). Change in these parameters
244 could serve as a proxy readout for neutrophil activation. The underlying mechanism is still unclear,
245 although it has been reported that the volume of activated neutrophils increases through connected
246 Na^+/H^+ antiport activity (32). Our observations can also reflect the fact that in severe COVID-19,

247 strongly activated neutrophils may adopt a so-called low-density phenotype which is prone to
248 neutrophil extracellular trap (NET) formation (33). The lower density of this population of neutrophils
249 could be logically linked with the elevated size and deformability observed with RT-DC. The process was
250 reported as a source of vascular occlusion, possibly leading to vascular damage and organ dysfunction in
251 COVID-19 (33).

252 The median Young's modulus calculated from RT-DC data exhibited a weak decrease during COVID-19
253 (Figure 5 I), revealing that neutrophils were generally less stiff in COVID-19 patients. These changes of
254 Young's modulus were further confirmed by comparing data of three patients during and after COVID-19
255 (Figure 5 J), demonstrating a clear decrease of neutrophil stiffness during COVID-19.

256 Interestingly, in recovered patients, neutrophil parameters (cross-sectional cell area $66.2 \pm 2.5 \mu\text{m}^2$,
257 volume $308.9 \pm 15.3 \mu\text{m}^3$, deformation 0.055 ± 0.005) had not returned to the values of the healthy
258 cohort (Figure 5 F-H). Carissimo *et al.* found that the neutrophil counts per defined volume of blood in
259 recovered patients did not fully return to values of healthy individuals (34). Together with our findings,
260 this suggests that COVID-19 infection leaves a lasting influence on the immune system.

261 In addition to neutrophils, we also examined a different group of granulocytes, eosinophils. Eosinophils
262 are known to react to certain viral infections of the respiratory system *in vitro* and *in vivo*, including
263 respiratory syncytial virus and influenza (35, 36). However, eosinophils did not show any changes of
264 their physical phenotype during infection or in recovered state (Supplementary figure 6).

265 The above findings were reported as the medians and standard deviations of three mostly independent
266 cohorts of blood donors. For three of the patients we performed RT-DC measurements both during
267 COVID-19 and after recovery, and could therefore directly examine the progression of blood cell
268 parameters in a single individual. The comparison of various blood cell features of these three donors at
269 the two studied time points can be found in Supplementary figures 2-6. The trends confirm what we
270 describe in the text above: severe COVID-19 is linked with the presence of erythrocytes with distinct
271 phenotype and lower deformation, larger monocytes, softer lymphocytes and larger, more deformed
272 neutrophils.

273 Discussion

274 In our study, the physical parameters of blood cells including mechanical properties act as sensitive
275 reporters of pathophysiological changes in COVID-19 patients compared to age-matched controls. The
276 concept that cell morphology and mechanics are inherent markers of cell function has long been
277 established (37, 38). Using RT-DC, we were able to monitor the physical properties of cells from whole
278 blood without the need for tedious preparation or enrichment. In COVID-19 patients we found
279 alterations of erythrocytes and leukocyte subsets with the potential to be exploited as diagnostic
280 markers. This paves the way for high-speed, label-free and cost-effective disease detection. We note
281 that the relatively low number of COVID samples included in our study and the huge space of possible
282 cellular responses to viral infection makes it a necessity to acquire many more RT-DC datasets to ensure
283 the specificity of an observed pattern for a particular disease. In the context of limited existing RT-DC
284 data on viral infections, the blood cell response to COVID-19 was unique. In a previous study,
285 neutrophils derived from patients with viral respiratory tract (RTI) and Epstein-Barr-virus (EBV) infection
286 exhibited similar changes in cell size and deformation as what we observed for COVID-19 (9). In RTI and
287 EBV, monocytes were larger and significantly more deformable than the controls, while in COVID-19

288 monocyte deformation did not change. Lymphocytes showed distinct responses to the three viral
289 infections: in COVID-19 they were more deformable, in RTI showed no changes, and in EBV the
290 lymphocytes were bigger and more deformable compared to controls (9). In the future, RT-DC could be
291 of high significance for the fast identification of a specific infection of viral or other origin. This would be
292 an advantage in times when molecular diagnostic tests such as qPCR become inaccessible, such as
293 during critical phases of a pandemic.

294 A key finding of our study was that the erythrocytes of COVID-19 patients were significantly more
295 heterogeneous in size and deformation under constant shear stress, compared to healthy controls. In a
296 recent report, Thomas et al. identified structural protein damage and membrane lipid remodeling in
297 erythrocytes as potential causes of impaired oxygen delivery during COVID-19 (25). These changes could
298 be linked with the altered physical properties of the cells, as the composition and properties of plasma
299 membranes interplay with the cytoskeleton to regulate physical properties of cells such as shape (39).
300 The physical properties of erythrocytes are crucial for microcirculatory flow (40, 41) and as such, these
301 changes could impair circulation and promote hypoxemia. The effect could persist in COVID-19 patients
302 long after the infection is not active anymore; we found that in recovered patients phenotype
303 alterations were not as prominent, but still present. A different explanation for the persistence of less
304 deformable erythrocytes in recovered patients could be that the cells already had a different physical
305 phenotype before clinical onset. Altered mechanical properties of cells due to factors such as age could
306 increase susceptibility to SARS-CoV-2 infection, as suggested by Uhler and Shivashankar (42).

307 While erythrocytes are present in high quantities (three orders of magnitude more frequent than
308 leukocytes), also the numbers and physical features of leukocytes are crucial for proper blood flow (43).
309 RT-DC provides direct access to relative leukocyte counts, their size and mechanical properties. In
310 accordance with other studies (19, 44) we found neutrophilia and lymphopenia as well as increased
311 neutrophil to lymphocyte ratio in COVID-19 patients. Importantly, we report on changes of size and
312 mechanical properties of leukocyte subsets in COVID-19 samples. These changes might be key to
313 understanding vessel occlusion and pulmonary embolism, as the interrogation of cell morphology and
314 mechanics in former studies established the importance of these factors for cell circulation under
315 physical (45), pathological (46, 47), and artificial (48) conditions.

316 We hypothesize that the observed changes could arise due to cytoskeletal alterations of immune cells.
317 Mechanical properties of cells can be directly related to the cytoskeleton (37, 38, 49, 50), an important
318 supportive structure which also determines cellular function (51–54). Previously, RT-DC allowed us to
319 detect actin cytoskeletal rearrangements during rubella virus infection, which correlated with an altered
320 cell shape and reduced migratory potential (55). Although it is known that viruses can use immune cells
321 as vehicles to travel the body (56) and hijack the actin cytoskeleton (57), viral traces were absent in the
322 blood of COVID-19 patients (58). However, the cytoskeleton may be affected by the infection indirectly
323 *e.g.* through the involvement of cytoskeleton-dependent signaling (59). Hyperinflammation and cytokine
324 storm syndrome are reported in COVID-19 cases with high levels of macrophage inflammatory protein 1-
325 α , granulocyte-colony stimulating factor (G-CSF), interleukins IL-2 and IL-7, interferon- γ inducible protein
326 10, monocyte chemoattractant protein 1, and tumour necrosis factor- α (TNF) (60). Such cytokines were
327 reported to induce cytoskeletal changes in myeloid cells and to interfere with their physical phenotypes
328 during immune function (61–63). For example, Kutsuna et al. found actin depolymerization and changes
329 of cell morphology upon treating neutrophils with TNF, G-CSF and GM-CSF (61), suggesting cell
330 softening. In an RT-DC study, GM-CSF was found to activate neutrophils and induce similar size and

331 deformation changes to what we have seen for neutrophils from COVID-19 patients (31). This leads us to
332 speculate on the possible role of cytokines in triggering cytoskeletal reorganization and physical changes
333 of immune cells during COVID-19.

334 We are aware of certain limitations of our study, *e.g.* that it falls short of representative patient cohorts
335 to compare mild with critical symptoms. Still, we were able to identify changes of blood cell physical
336 phenotypes that did not return to the baseline healthy donor levels several months after SARS-CoV-2
337 infection, namely in erythrocytes and neutrophils. It is important to mention that neutrophils are short
338 lived cells with an average lifespan of less than one day. Thus, neutrophil alterations observed in our
339 recovered cohort were induced *after* the successful displacement of the virus by the immune
340 system. This might be indicative for SARS-CoV-2 causing long-term immunological signals or even
341 targeting bone marrow stem cells, as viral RNA was found *post-mortem* in patient bone marrow (64).

342 The persistent alterations of erythrocytes and neutrophils could be connected with long term symptoms
343 of the recovered patients, of which 70% described chronic headache or neurological symptoms, 54%
344 had concentration disorders and 62% circulatory problems like cold sweat and tachycardia. We
345 hypothesize that the persisting changes of blood cell physical phenotypes could contribute to the long-
346 term impairment of circulation and oxygen delivery linked with COVID-19 (17).

347 Lastly, we would like to add a speculative thought on cell physical changes as a tightly regulated
348 mechanism by which the body controls the circulation or extravasation of immune cells. Fay et al.
349 reported in 2016 that cellular softening mediates leukocyte demargination and trafficking, thereby
350 increasing clinical blood counts (45). It is possible that in similar manner, cell size contributes to the
351 effective cell circulation. If a cell is smaller or more deformable, it could circulate better through narrow
352 capillaries compared to a bigger or stiffer one. Thus, precise cell size or mechanics adaptations could
353 control the retention of leukocytes in tissue capillaries and subsequently lead to extravasation.

354 Taken together, label-free physical phenotyping of blood cells with real-time deformability cytometry
355 provides a fast, sensitive and unbiased way to feel for functional changes in cells. As such, deformability
356 cytometry data has the potential to be used as a biomarker of COVID-19 and potentially other infectious
357 diseases. In the future, RT-DC could be part of the first line of defense against an unknown virus during a
358 pandemic.

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362 Author contributions

363 M.K., J.G., and M.K. designed the project outline and carried out experiments, interpreted results, and
364 wrote the initial manuscript. B.H., M.H. provided samples and patient information, interpreted and
365 discussed results, and co-wrote the manuscript. J.H. and J.F. provided samples and patient information
366 and co-wrote the manuscript.

367 **Conflict of interest**

368 The authors declare no conflict of interest.

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555 Figure captions

556 **Figure 1. Scheme of an RT-DC measurement of a peripheral blood sample.** 50 µl of venous citrate-
557 anticoagulated blood is diluted and mixed gently in 950 µl of measurement buffer consisting of PBS and

558 methyl cellulose. The blood cell suspension is pumped through a microfluidic chip mounted on an
559 inverted microscope and single cell images are processed in real-time to obtain the physical parameters
560 of each cell. During post-processing, cell populations of interest are manually gated according to
561 brightness and the physical properties of each population are analyzed.

562

563 **Figure 2. Decreased size and deformability of erythrocytes of hospitalized COVID-19 patients.** Typical
564 scatter plot of erythrocyte deformation vs. cell size (cross-sectional area) of a healthy blood donor with
565 no known viral infection (A) compared to a patient four months after undergoing COVID-19 (B) and a
566 patient with COVID-19 in an intensive care unit (C). The erythrocytes shown in (D) are representative
567 images of cells in the clusters marked by corresponding numbers in the scatter plot. (E) Kernel density
568 estimate plots demonstrating the differences in cell size and deformability between the three donors (A-
569 C). The comparison of median values of deformation (F) and standard deviation of deformation (G)
570 between the control group of blood donors ($n = 24$), recovered patients ($n = 14$), and patients
571 hospitalized with COVID-19 ($n = 17$). Statistical comparisons were done using Kruskal-Wallis test with
572 Dunn's posthoc test, * $p < .05$, ** $p < .01$, *** $p < .001$.

573 **Figure 3. Lymphocytes are less stiff in peripheral blood of hospitalized COVID-19 patients.** Typical
574 scatter plot of lymphocyte deformation vs. cell size (cross-sectional area) of a healthy blood donor with
575 no known viral infection (A) compared to a patient four months after undergoing COVID-19 (B) and a
576 patient with COVID-19 in an intensive care unit (C). (D) Kernel density estimate plots demonstrating the
577 differences in cell size and deformation among the three donors (A-C). (E) Representative images of cells
578 in the clusters marked by corresponding numbers in the scatter plots. (F) No significant differences in
579 lymphocyte cell size were found between healthy blood donors (grey, $n = 24$), recovered patients
580 approximately five months after undergoing COVID-19 (green, $n = 14$), and patients hospitalized with
581 COVID-19 (yellow, $n = 17$). (G) Lymphocytes exhibit significantly increased deformation in hospitalized
582 COVID-19 patients. (H) Young's modulus of lymphocytes is significantly higher in COVID-19 patients
583 compared to the healthy or recovered donors. Statistical comparisons were done using Kruskal-Wallis
584 test with Dunn's posthoc test, * $p < .05$, ** $p < .01$, *** $p < .001$.

585 **Figure 4. The appearance of large monocytes in COVID-19 patients.** Typical scatter plot of monocyte
586 deformation vs. cell size (cross-sectional area) of a healthy blood donor with no known viral infection (A)
587 compared to a patient four months after undergoing COVID-19 (B) and a patient with COVID-19 in an
588 intensive care unit (C). (D) The images of cells marked by corresponding numbers in the scatter plots. (E)
589 Kernel density estimate plots demonstrating the differences in cell size and deformation among the
590 three donors (A-C). (F) The median monocyte cell volume is significantly elevated in hospitalized COVID-
591 19 patients (yellow, $n = 17$) compared to healthy blood donors (grey, $n = 24$) and recovered patients
592 (green, $n = 14$). (G) A significant increase is also observed in the standard deviation of cell volume.
593 Statistical comparisons were done using Kruskal-Wallis test with Dunn's posthoc test, * $p < .05$, ** $p <$
594 $.01$, *** $p < .001$.

595 **Figure 5. Altered physical phenotype of neutrophils in the peripheral blood of COVID-19 patients.**
596 Typical scatter plot of neutrophil deformation vs. cell size (cross-sectional area) of a healthy blood donor
597 with no known viral infection (A) compared to a patient four months after undergoing COVID-19 (B) and
598 a patient with COVID-19 in an intensive care unit (C). (D) Images of neutrophils marked by corresponding
599 numbers in the scatter plots. (E) Kernel density estimate plots demonstrating the differences in cell size
600 and deformation among the three donors (A-C). (F) The median cross-sectional area and (G) median cell
601 volume of neutrophils of patients hospitalized with COVID-19 (yellow, $n = 17$) are significantly higher
602 than that of the healthy blood donors (grey, $n = 24$) and of recovered patients approximately five
603 months after undergoing COVID-19 (green, $n = 14$). (H) Neutrophils exhibit increased deformability in
604 hospitalized COVID-19 patients compared to the healthy cohort (I) Young's modulus of neutrophils of
605 the three donor groups. (J) Young's modulus of neutrophils in three patients measured at two time
606 points: during COVID-19 and after recovery. Circle markers represent the median value, error bars
607 represent standard deviation. Statistical comparisons for (F-I) were done using Kruskal-Wallis test with
608 Dunn's posthoc test and for (J) using linear mixed model analysis, * $p < .05$, ** $p < .01$, *** $p < .001$.

609

Breath inlet

Image acquisition

Sample inlet

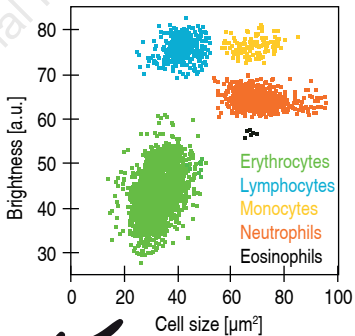
Outlet

Flow

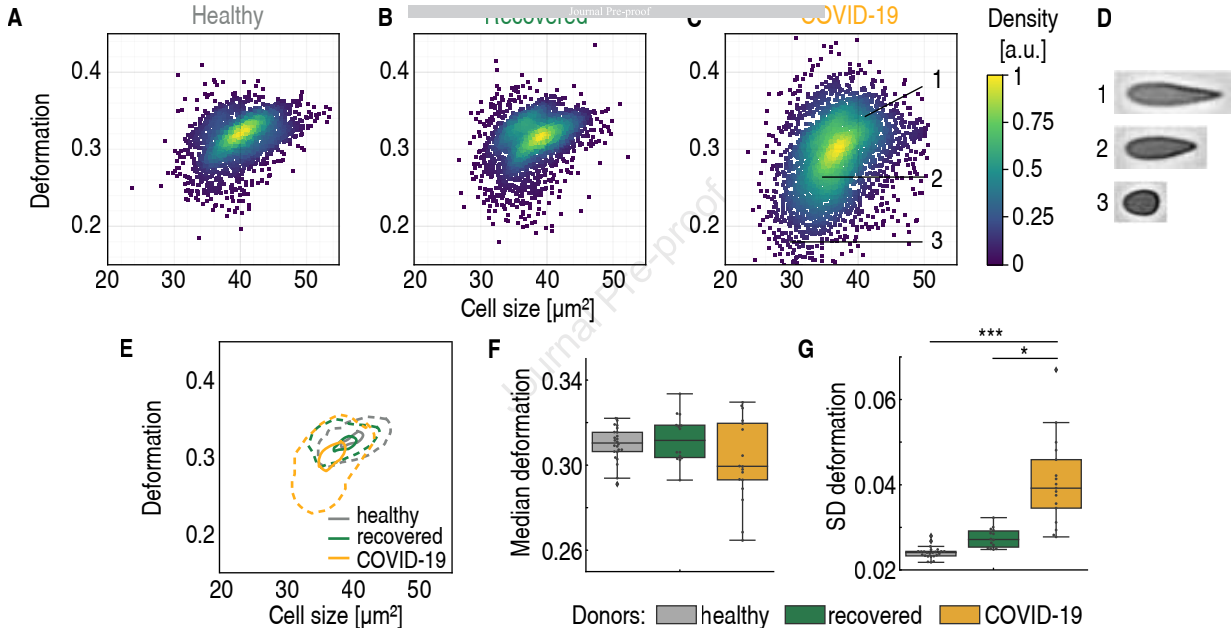
Real-time
deformability
cytometry

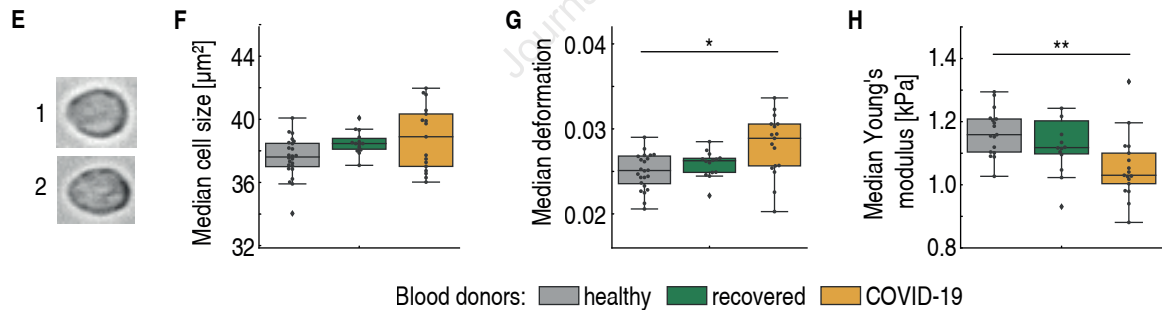
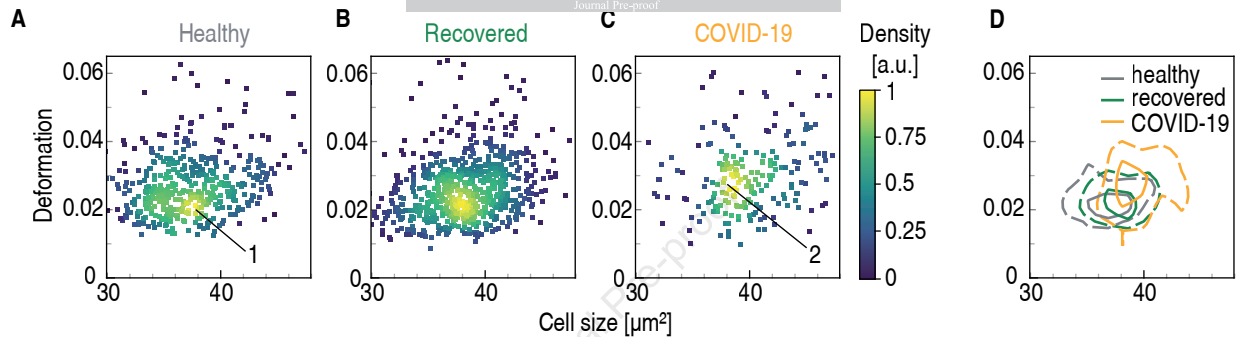
Selection of cell population

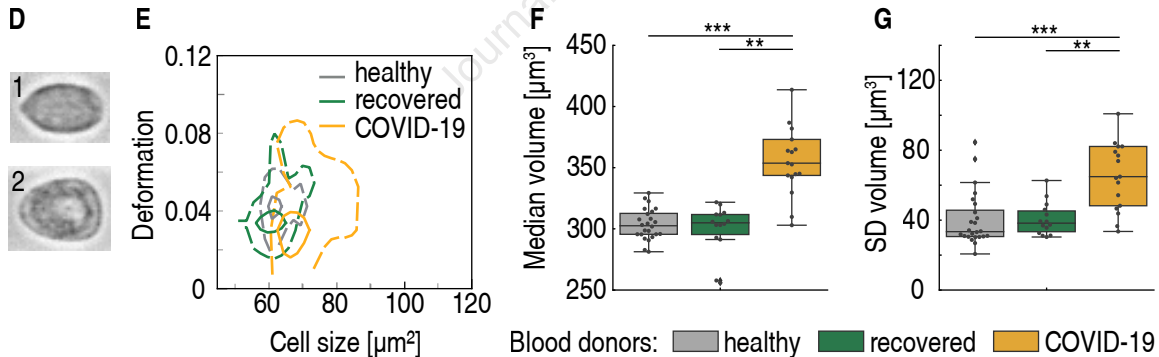
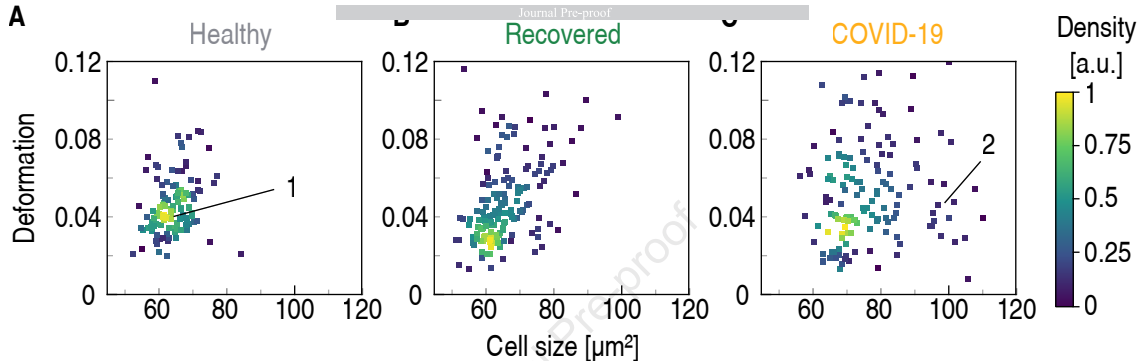
Dilution in
measurement
buffer 1:20

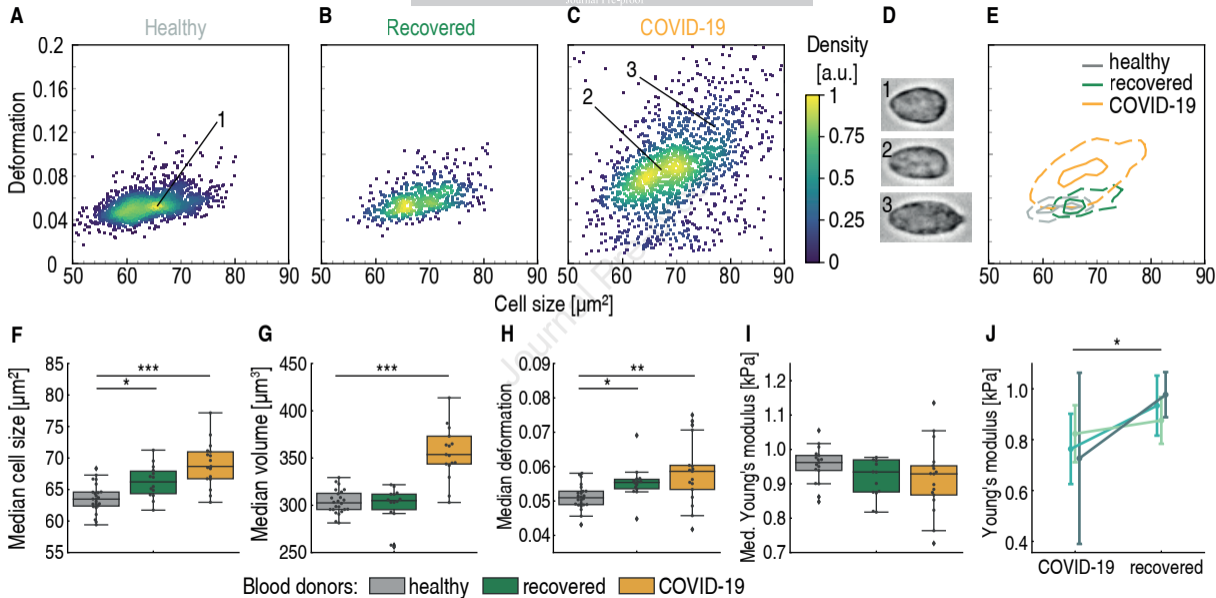


Analysis of
physical properties









Physical phenotype of blood cells is altered in COVID-19

M Kubánková, B Hohberger, J Hoffmanns, J Fürst, M Herrmann, J Guck[#], M Kräter[#] corresponding author

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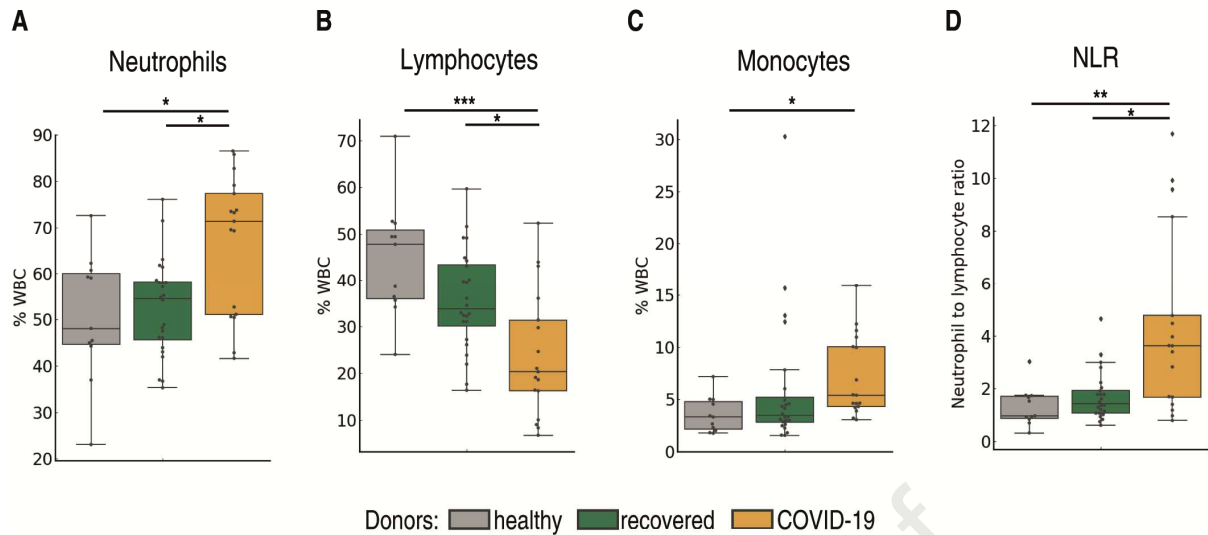
Supplementary Figures and Tables

Supplementary table 1. Patient characteristics, medical management and outcome of all donors included in this study.

	All donors n=54 (100%)		
	Control n=24	Recovered n=14	COVID-19 n=17
Age (years): median (range)	62.5 ± 13.6 years (26-81)	58.6 ± 12.4 (27-76)	68 ± 10.4 (41-87)
Gender			
male	12 (50%)	10 (71.4%)	13 (76.5%)
female	12 (50%)	4 (18.6%)	4 (23.5%)
Primary virus identification (PCR airway)	n.a.	14 (100%)	17 (100%)
Complications and medical management			
Oxygen supplementation	0	0	17 (100%)
Mechanical ventilation	0	0	13 (76.5%)
ECMO	0	0	6 (35.3%)
Dialysis	0	0	3 (17.6%)
Systemic Superinfection	0	0	7 (41.1%)
Pulmonary embolism	0	0	6 (35.3%)
Drugs			
Azithromycin	0	0	3 (17.6%)
Hydroxychloroquine	0	0	9 (52.9%)
Heparin prophylactic/therapeutic anticoagulation	0	0	13 (76.5%)
Outcome			
Length of hospital stay (days)	0	7 ± 2.4 (5-12)	22.8 ± 14 (7-50)
Intensive care unit stay	0	0	13 (76.5%)
Discharged	0	14 (100%)	9 (52.9%)
Further hospitalized	0	0	0
Death	0	0	8 (47.1%)

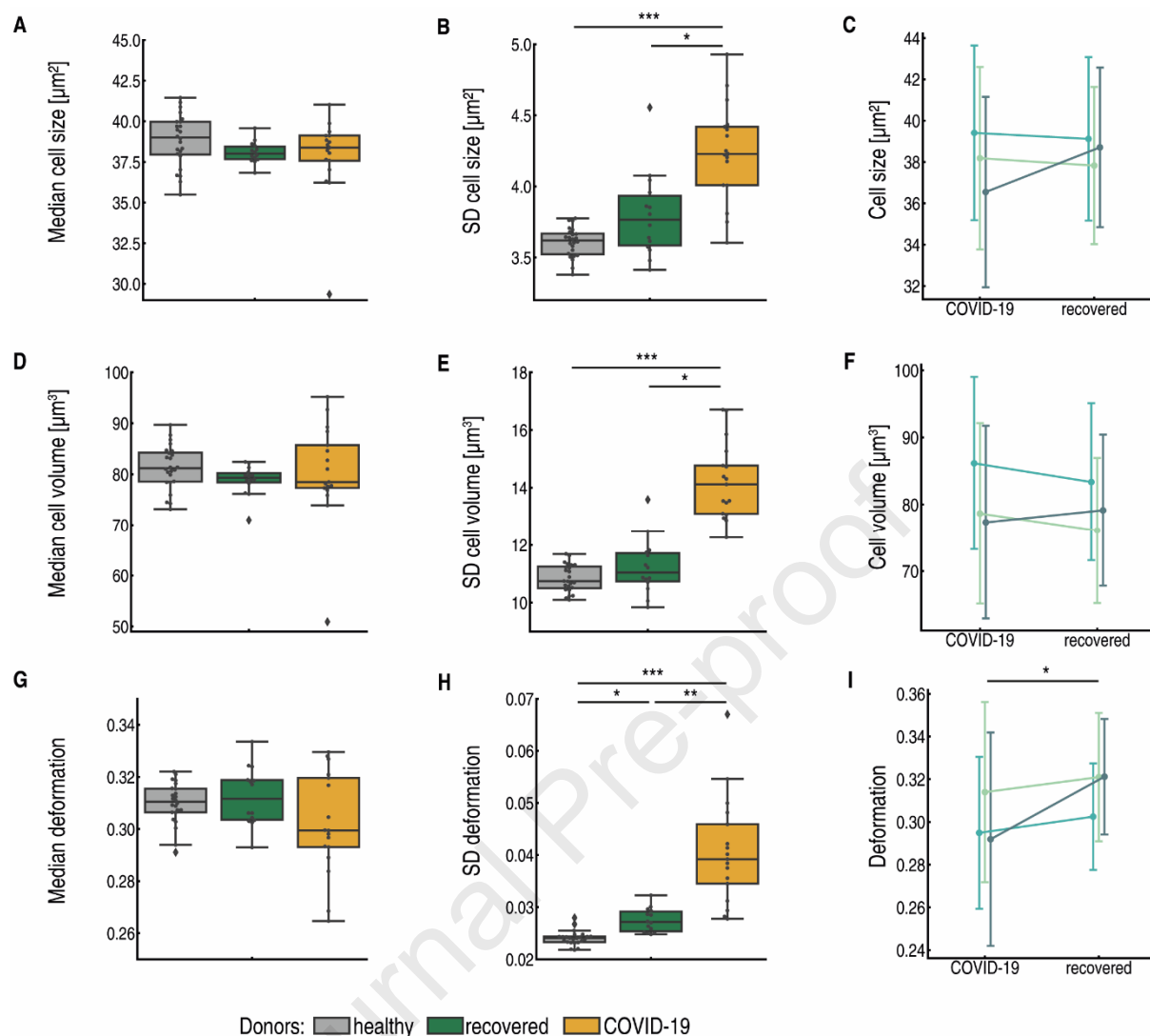
2 **Supplementary table 2.** Kruskal-Wallis H -statistics, p -values and effect sizes ϵ^2 . The last three
 3 columns represent p -values from Dunn's posthoc tests conducted for the significant results of
 4 Kruskal-Wallis H -tests.

A - healthy B- recovered C - COVID	p (Kruskal- Wallis)	H (Kruskal- Wallis)	ϵ^2 (Kruskal- Wallis)	p AC (Dunn's)	p AB (Dunn's)	p BC (Dunn's)
Erythrocytes						
Median area	0.1162	4.30	0.080	0.4896	0.1503	1.0000
SD area	0.0000	26.99	0.500	0.0000	0.2259	0.0112
Median volume	0.2532	2.75	0.051	1.0000	0.2938	1.0000
SD volume	0.0000	33.75	0.625	0.0000	0.9204	0.0002
Median deformation	0.2180	3.05	0.056	0.4480	1.0000	0.3380
SD deformation	0.0000	42.30	0.783	0.0000	0.0024	0.0340
% of ery with def < 0.28	0.0000	25.83	0.478	0.0000	1.0000	0.0016
Neutrophils						
Median area	0.0000	22.95	0.425	0.0000	0.2704	0.0260
SD area	0.0001	18.86	0.349	0.0023	0.0001	0.6791
Median volume	0.0000	23.53	0.436	0.0000	0.1319	0.0517
SD volume	0.0001	19.78	0.366	0.0005	0.0002	1.0000
Median deformation	0.0013	13.31	0.246	0.0021	1.0000	0.0319
SD deformation	0.0059	10.28	0.190	0.0041	0.3772	0.5059
Median Young's modulus	0.1698	3.55	0.066	0.1827	1.0000	0.8807
Lymphocytes						
Median area	0.0499	6.00	0.111	0.1667	1.0000	0.0939
SD area	0.0000	30.78	0.570	0.2270	0.0010	0.0000
Median volume	0.0814	5.02	0.093	0.3403	1.0000	0.1134
SD volume	0.0000	28.36	0.525	0.7403	0.0003	0.0000
Median deformation	0.0132	8.66	0.160	0.0107	0.1945	1.0000
SD deformation	0.0000	35.61	0.659	0.0043	0.0218	0.0000
Median Young's modulus	0.0029	11.68	0.216	0.0029	0.0542	1.0000
Monocytes						
Median area	0.0000	30.64	0.567	0.0000	0.0001	1.0000
SD area	0.0011	13.65	0.253	0.0007	0.0892	0.7682
Median volume	0.0000	27.71	0.513	0.0000	0.0001	1.0000
SD volume	0.0001	18.48	0.342	0.0001	0.0075	1.0000
Median deformation	0.7918	0.47	0.009	1.0000	1.0000	1.0000
SD deformation	0.4949	1.41	0.026	0.7256	1.0000	1.0000
Median Young's modulus	0.7763	0.51	0.009	1.0000	1.0000	1.0000
Eosinophils						
Median area	0.1289	4.10	0.076	0.6099	0.1547	1.0000
SD area	0.0126	8.7600	0.162	0.1245	0.0168	1.0000
Median volume	0.2532	2.75	0.051	1.0000	0.2938	1.0000
SD volume	0.0000	33.75	0.625	0.0000	0.9204	0.0002
Median deformation	0.4143	1.76	0.033	1.0000	0.9095	0.5981
SD deformation	0.5965	1.03	0.019	1.0000	1.0000	0.9290
Median Young's modulus	0.9592	0.08	0.002	1.0000	1.0000	1.0000
% of WBC						
% neutrophils	0.0105	9.10	0.169	0.0499	1.0000	0.0174
% lymphocytes	0.0007	14.63	0.271	0.0006	0.2426	0.0328
% monocytes	0.0151	8.38	0.155	0.0244	1.0000	0.0644
% eosinophils	0.0010	13.73	0.254	0.1576	0.0007	0.1970
NLR	0.0022	12.22	0.226	0.0031	0.7018	0.0252



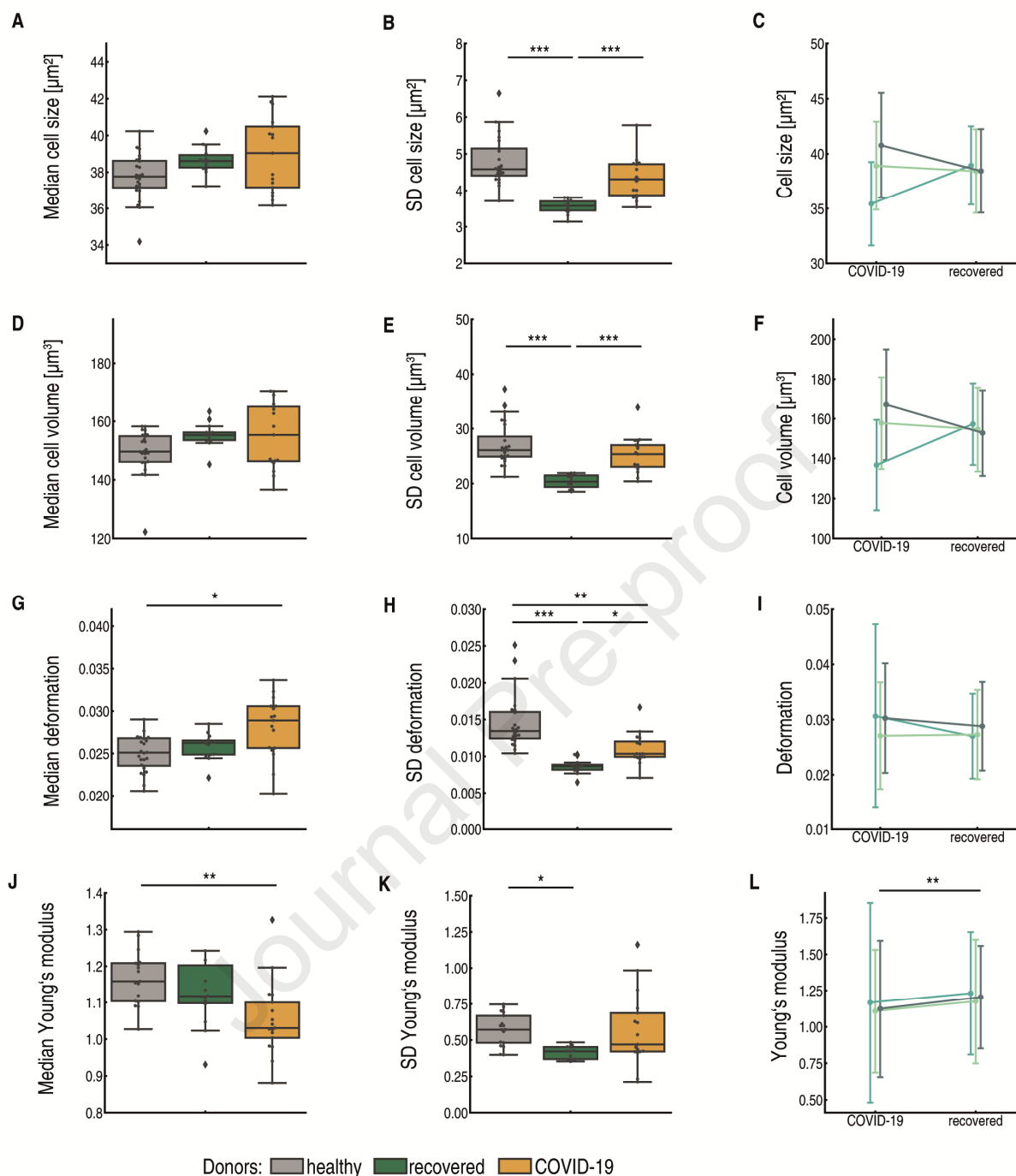
5

6 **Supplementary figure 1. Proportions of white blood cells calculated from real-time deformability**
 7 **cytometry (RT-DC) data.** The percentage of A) neutrophils, B) lymphocytes and C) monocytes in the
 8 total white blood cell count; a comparison of the control blood donor cohort (grey), recovered
 9 patients (green) and hospitalized COVID-19 patients (yellow). D) The neutrophil to lymphocyte ratio
 10 is significantly higher in hospitalized patients compared to the recovered and healthy donor cohorts,
 11 * $p < .05$, ** $p < .01$, *** $p < .001$.



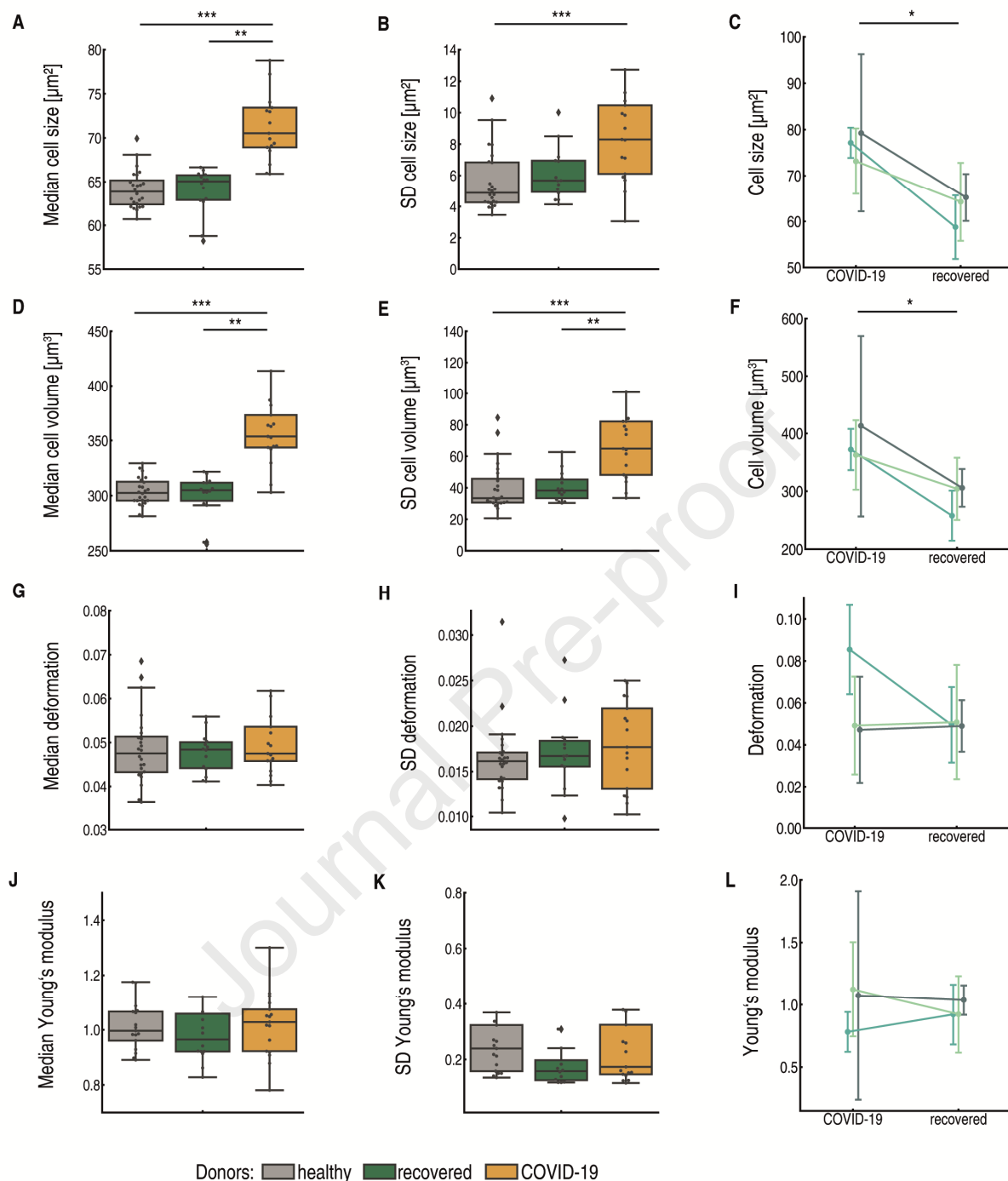
12

13 **Supplementary figure 2. Physical properties of erythrocytes of COVID-19 patients compared to**
 14 **controls.** Quantification of A-C) cross-sectional cell area, D-F) cell volume, G-I) cell deformation; in
 15 these graphs COVID-19 patients (yellow, n = 17) are compared to recovered donors (green, n = 14)
 16 and healthy donors (grey, n = 24). Panels C), F), I) show three patients measured at two time points,
 17 during COVID-19 and after recovery; circle markers represent the median value and error bars
 18 represent the standard deviation for each patient. Statistical comparisons in C), F), I) were performed
 19 using linear mixed model analysis. All other statistical comparisons were done using Kruskal-Wallis
 20 test with Dunn's posthoc test. * $p < .05$, ** $p < .01$, *** $p < .001$.



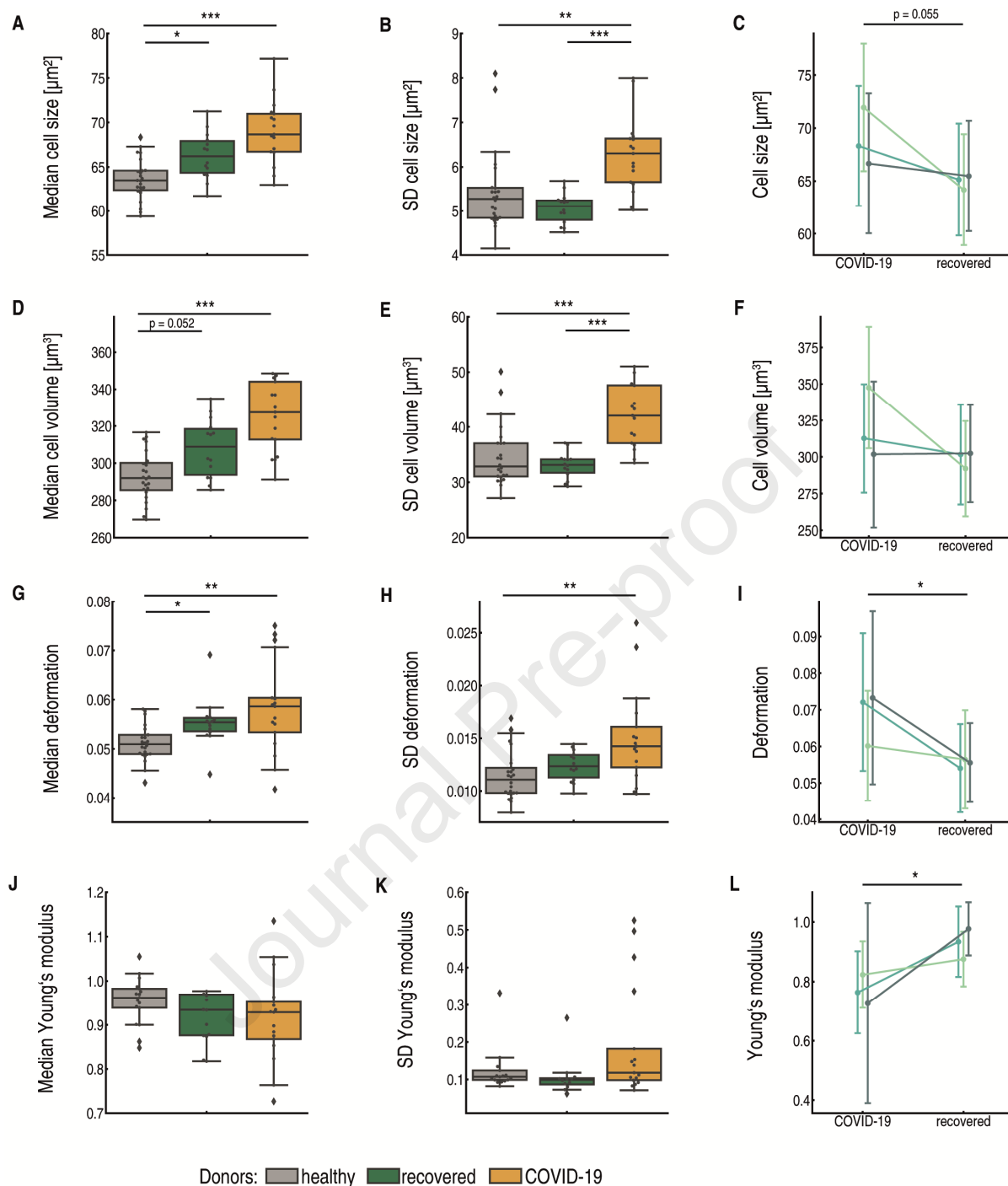
21

22 **Supplementary figure 3. Physical properties of lymphocytes of COVID-19 patients compared to**
 23 **controls.** Quantification of A-C) cross-sectional cell area, D-F) cell volume, G-I) cell deformation, J-L)
 24 Young's modulus; in these graphs COVID-19 patients (yellow, n = 17) are compared to recovered
 25 donors (green, n = 14) and healthy donors (grey, n = 24). Panels C), F), I) and L) show three patients
 26 measured at two time points, during COVID-19 and after recovery; circle markers represent the
 27 median value and error bars represent the standard deviation for each patient. Statistical
 28 comparisons in C), F), I), L) were performed using linear mixed model analysis. All other statistical
 29 comparisons were done using Kruskal-Wallis test with Dunn's posthoc test. * $p < .05$, ** $p < .01$, ***
 30 $p < .001$.



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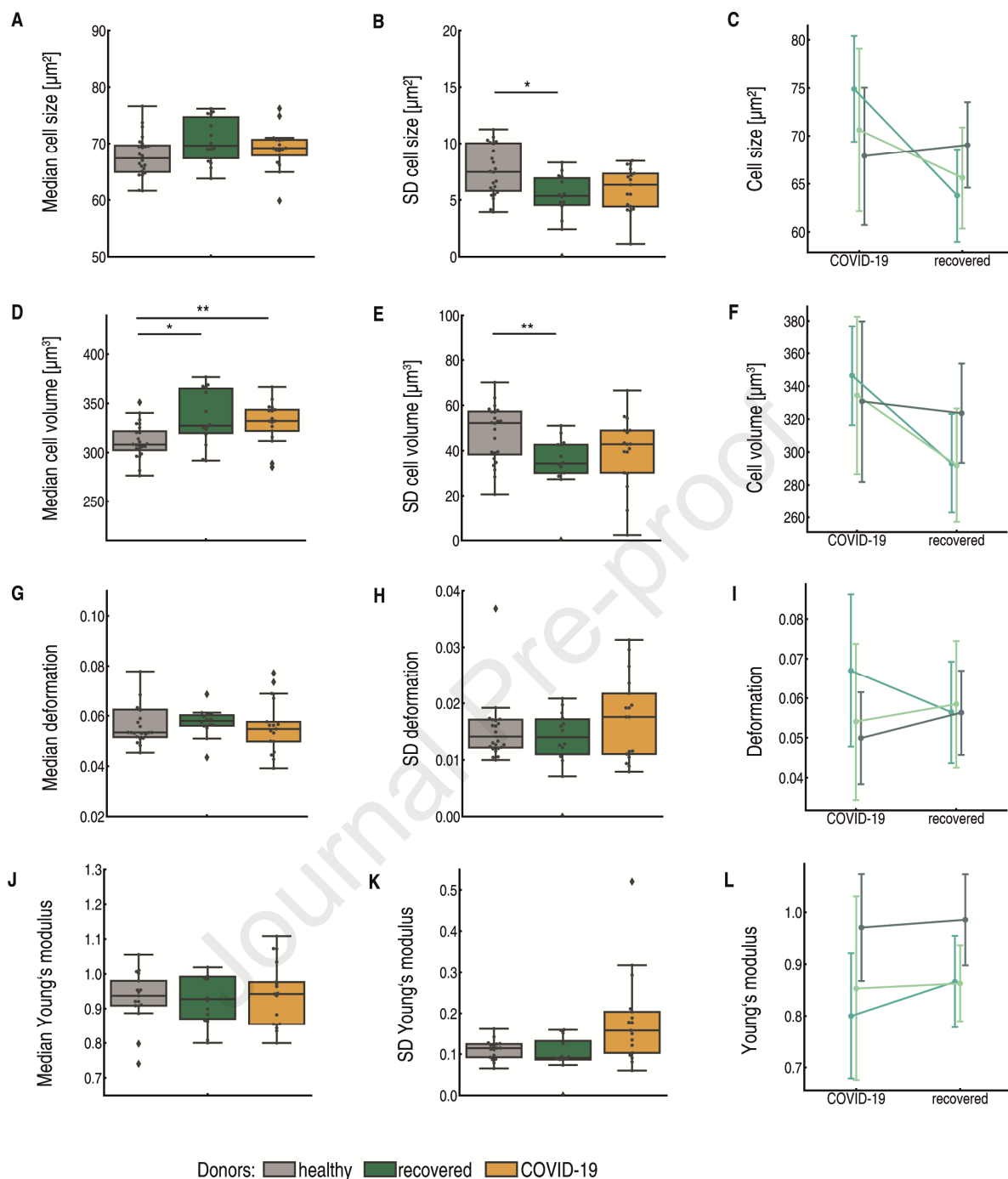
32 **Supplementary figure 4. Physical properties of monocytes of COVID-19 patients compared to**
 33 **controls.** Quantification of A-C) cross-sectional cell area, D-F) cell volume, G-I) cell deformation, J-L)
 34 Young's modulus; in these graphs COVID-19 patients (yellow, n = 17) are compared to recovered
 35 donors (green, n = 14) and healthy donors (grey, n = 24). Panels C), F), I) and L) show three patients
 36 measured at two time points, during COVID-19 and after recovery; circle markers represent the
 37 median value and error bars represent the standard deviation for each patient. Statistical
 38 comparisons in C), F), I), L) were performed using linear mixed model analysis. All other statistical
 39 comparisons were done using Kruskal-Wallis test with Dunn's posthoc test. * $p < .05$, ** $p < .01$, ***
 40 $p < .001$.



41

42 **Supplementary figure 5. Physical properties of neutrophils of COVID-19 patients compared to**
 43 **controls.** Quantification of A-C) cross-sectional cell area, D-F) cell volume, G-I) cell deformation, J-L)
 44 Young's modulus; in these graphs COVID-19 patients (yellow, n = 17) are compared to recovered
 45 donors (green, n = 14) and healthy donors (grey, n = 24). Panels C), F), I) and L) show three patients
 46 measured at two time points, during COVID-19 and after recovery; circle markers represent the
 47 median value and error bars represent the standard deviation for each patient. Statistical
 48 comparisons in C), F), I), L) were performed using linear mixed model analysis. All other statistical
 49 comparisons were done using Kruskal-Wallis test with Dunn's posthoc test. * $p < .05$, ** $p < .01$, ***
 50 $p < .001$.

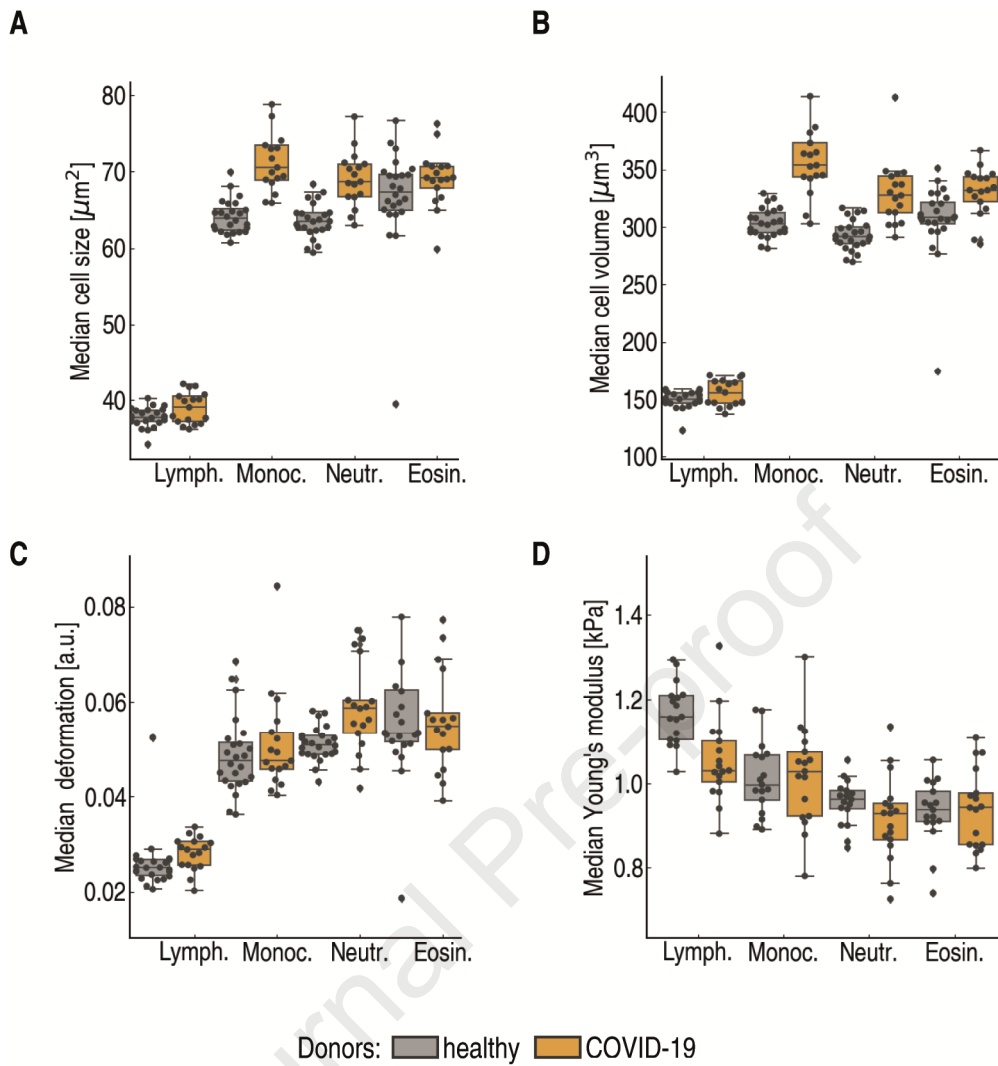
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52

53 **Supplementary figure 6. Physical properties of eosinophils of COVID-19 patients compared to**
 54 **controls.** Quantification of A-C) cross-sectional cell area, D-F) cell volume, G-I) cell deformation, J-L)
 55 Young's modulus; in these graphs COVID-19 patients (yellow, $n = 17$) are compared to recovered
 56 donors (green, $n = 14$) and healthy donors (grey, $n = 24$). Panels C), F), I) and L) show three patients
 57 measured at two time points, during COVID-19 and after recovery; circle markers represent the
 58 median value and error bars represent the standard deviation for each patient. Statistical
 59 comparisons in C), F), I), L) were performed using linear mixed model analysis. All other statistical
 60 comparisons were done using Kruskal-Wallis test with Dunn's posthoc test. * $p < .05$, ** $p < .01$, ***
 61 $p < .001$.

62



63

64 **Supplementary figure 7. A comparison of the physical properties of the four examined white blood**
 65 **cell types in COVID-19 patients (yellow) compared to the control group (grey). A) Median cell size,**
 66 **B) median cell volume, C) median deformation, D) median Young's modulus.**